

United States District Court

SOUTHERN

DISTRICT OF

NEW YORK

MICHAEL GRAUER,

Plaintiff,

V.

UBS FINANCIAL SERVICES, INC.,
THOMAS REICHERT, AND BARRY CONNELL

Defendants.

SUMMONS IN A CIVIL CASE

CASE NUMBER:

07 CV 5450

TO: (Name and address of defendant)

UBS FINANCIAL SERVICES, INC.
1285 Avenue of the Americas
New York, New York 10019-6031

YOU ARE HEREBY SUMMONED and required to serve upon PLAINTIFF'S ATTORNEY (name and address)

Janice Goodman, Esq.
275 Seventh Avenue, Suite 2300
New York, NY 10001
(212)869-1940

an answer to the complaint which is herewith served upon you, within 20 days after service of this summons upon you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. You must also file your answer with the Clerk of this Court within a reasonable period of time after service.

JUN 08 2007

J. MICHAEL McMAHON

CLERK

DATE

(BY) DEPUTY CLERK

AO 440 (Rev. 10/93) Summons in a Civil Action -SDNY WEB 4/99

RETURN OF SERVICEService of the Summons and Complaint was made by me¹

DATE

6/28/07

NAME OF SERVER (PRINT)

Steven C. Avery

TITLE

Process Server

Check one box below to indicate appropriate method of service

- ☐ Served personally upon the defendant. Place where served: _____
- ☐ Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein.
Name of person with whom the summons and complaint were left: _____
- ☐ Returned unexecuted: _____
- ☒ Other (specify): Served upon Donna Christie - Fluh/Floude/25/55" 140lbs, who is authorized to accept papers on behalf of Secretary of State

STATEMENT OF SERVICE FEES

TRAVEL

SERVICES

TOTAL

DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on

Date

6/18/07

Signature of Server

Steven C. Avery

Address of Server

93 West Sand Lake Road
Wynantskill, NY 12198

(1) As to who may serve a summons see Rule 4 of the Federal Rules of Civil Procedure.

State of New York Department of State
Receipt for Service

Receipt #: 200706180412
Date of Service: 06/18/2007
Service Company: 85 AAA/ALBANY ATTORNEY SERVICES

Cash #: 200706180344
Fee Paid: \$40 - CHECK

Service was directed to be made pursuant to: SECTION 306 OF THE BUSINESS
CORPORATION LAW

Party Served: UBS FINANCIAL SERVICES INC.

Plaintiff/Petitioner:
GRAUER, MICHAEL

Service of Process Address:
UBS FINANCIAL SERVICES INC.
800 HARBOR BLVD
WEEHAWKEN, NJ 07086

JANICE GOODMAN, ESQ.

Secretary of State
By DONNA CHRISTIE